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1	GREGORY S. TAMKIN (State Bar No. 175009)		
2	CASE COLLARD (State Bar No. 245834) DORSEY & WHITNEY LLP 1400 Wewatta Street, Suite 400		
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4	Denver, CO 80202-5549 Telephone: (303) 629-3400		
5	Facsimile: (303) 629-3450 Email: tamkin.greg@dorsey.com		
6	Email: collard.case@dorsey.com Attorneys for Defendants Ameriprise Financial, Inc.		
7	and Ameriprise Financial Services, Inc.		
8	UNITED STATES DISTRICT COURT		
9	NORTHERN DISTRICT OF CALIFORNIA		
10	SAN FRANCISCO DIVISION		
11	XIMPLEWARE CORP.,	Case No. 13-cv-05160 NC	
12	Plaintiff,	DEFENDANTS AMERIPRISE FINANCIAL,	
13	V.	INC. AND AMERIPRISE FINANCIAL	
14	VERSATA SOFTWARE, INC. F/K/A	SERVICES, INC.'S CERTIFICATION AND NOTICE OF INTERESTED PARTIES	
15	TRILOGY SOFTWARE, INC.; TRILOGY DEVELOPMENT GROUP, INC.;		
16	AMERIPRISE FINANCIAL, INC.; AMERIPRISE FINANCIAL SERVICES, INC.;		
17	AND AUREA SOFTWARE, INC A/K/A		
18	AUREA, INC.,		
19	Defendant.		
20	Pursuant to Rule 7.1 of the Federal Rules of Civil Procedure, Defendants Ameriprise		
21	Financial, Inc. and Ameriprise Financial Services, Inc. for their disclosure statements, state as follows		
22	Ameriprise Financial, Inc. is a publicly traded company and no publicly traded corporation		
23	currently owns more than 10% of its stock.		
24	The parent company of Ameriprise Financial Services, Inc. is AMPF Holding Corporation.		
25	Pursuant to Local Rule 3-16, Ameriprise I	Financial, Inc. and Ameriprise Financial Services,	
26	Inc. are not aware of any other non-party interested entities or persons.		
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1	Respectfully submitted November 22, 2013.	
2	/s/ Gregory S. Tamkin	
3	Gregory S. Tamkin Case Collard	
4	DORSEY & WHITNEY, LLP Email: tamkin.greg@dorsey.com	
5	Email: collard.case@dorsey.com 1400 Wewatta Street, Suite 400	
6	Denver, CO 80202-5549 Telephone:(303) 629-3400	
7	Facsimile: (303) 629-3450 Attorneys for Defendants Ameriprise Financial, Inc.	
8	and Ameriprise Financial Services, Inc.	
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CERTIFICATE OF SERVICE

On November 22, 2013, I caused the foregoing document, titled DEFENDANTS

AMERIPRISE FINANCIAL, INC. AND AMERIPRISE FINANCIAL SERVICES, INC.'S

CERTIFICATION AND NOTICE OF INTERESTED PARTIES, to be electronically filed with the court, which will cause a Notice of Electronic Filing to be automatically generated by the court's electronic filing system and sent to all parties in this case. Pursuant to General Order No. 45,

Sections II.G. and IX, the Notice of Electronic Filing when e-mailed to the email addresses of record for counsel in the case constitutes service on the receiving parties.

/s/ Gregory S. Tamkin
Gregory S. Tamkin